091-03914-M

OMB APPROVAL Submit I Original For Internal Use Only 3235-0504 OMB Number: and 9 Copies Sec File No. 9-August 31, 2010 Expires: **UNITED STATES** Estimated average burden hours per response. . . . . 3.60 TLES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I Initial Listing Report Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. BATS Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. See attached. Class of New Derivative Securities Product: 3. See attached. 4. Name of Underlying Instrument: See attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See attached. Ticker Symbol(s) of New Derivative Securities Product: 6. See attached. 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached. 8. Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Tamara Schademann Title: Chief Regulatory Officer Telephone Number: (913) 815-7113 Manual Signature of Official Responsible for Form: Securities Exchange Act of 1934 Act Date: November 4, 2008 19b-4 Section 19b-4(e) Rule SEC 2449 (6-01) Public NOV 1 4 2008

Availability:

## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC. PURSUANT TO UNLISTED TRADING PRIVILEGES COMMEN<u>CING ON OCTOBER 30, 2008</u>

PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON OCTOBER 30, 2006					
	:			<u>(5) If</u>	(7) Market(s) upon
<u>(6)</u>	(2) Type of			<u>Index,</u>	Which Securities
<u>Ticker</u>	<u>Issuer Of</u>		(4) Name of Underlying	Broad or	Comprising Underlying
<u>Symbol</u>	NDSP	(3) Class of NDSP	<u>Instrument</u>	<u>Narrow</u>	Instrument Trades
AAXJ	Trust	Investment company units	MSCI All Country Asia ex Japan Index	Broad	Various Asian markets.
ACWI	Trust	Investment company units	MSCI All Country World	Broad	Various developed and
			Index		emerging markets.
ACWX	Trust	Investment company units	MSCI All Country World	Broad	Various developed and
			Index ex USA index		emerging markets
ADE	Corporation	Exchange traded note; currency linked securities	DB AUD Overnight Index	Narrow	N/A
ADRA	Trust	Investment company units	BNY Asia 50 ADR Index	Broad	Various Asian markets.
ADRD	Trust	Investment company units	BNY Developed Markets	Broad	Various developed
			100 ADR Index		markets.
ADRE	Trust	Investment company units	BNY Emerging Markets 50 ADR Index	Broad	Various emerging markets.
ADRU	Trust	Investment company units	BNY Europe 100 ADR Index	Broad	Various European markets.
AEX	Trust	Investment company units	AEX Index	Broad	Euronext Amsterdam
AFK	Trust	Investment company units	Dow Jones Africa Titans 50 Index	Broad	Various African markets.
AGA	Corporation	Exchange traded note; commodity linked securities	Deutsche Bank Liquid	Narrow	N/A
AGF	Corporation	Exchange traded note; commodity linked securities	Deutsche Bank Liquid Commodity Index – Optimum Yield Agriculture	Narrow	N/A
AGG	Broker- dealer	Investment company units	Lehman Brothers U.S. Aggregate Index	Broad	U.S. markets
AIA	Trust	Investment company units	S&P Asia 50 Index	Broad	Various Asian markets.
AUS	Trust	Investment company units	S&P/ASX 200 Index	Broad	Australian Stock Exchange
AYT	Corporation		Barclays Global Emerging Markets Strategy Asia 8 Index	Narrow	N/A

Act	Securities Exchange Act of 1934			
Section	19b-4			
Fole	19b-4(e)			
Public Availability:	NOV 1 4 2008			



NOV 0 5 2008 OFFICE OF THE SECRETARY

November 4, 2008

Ms. Florence E. Harmon Acting Secretary Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) - BATS Exchange, Inc.

Ms. Harmon,

On behalf of BATS Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. As you may know, BATS Exchange has been approved by the United States Securities and Exchange Commission as a national securities exchange and has begun trading on a UTP basis certain securities that were previously traded on the BATS ECN. The enclosed Form 19b-4(e) contains information regarding certain derivative securities products that transitioned to BATS Exchange on October 30, 2008. Please contact me or Anders Franzon (913.815.7154) if you have any questions in connection with this matter.

Sincerely,

Tamara Schademann

Chief Regulatory Officer

Damora Schademann

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